

OAHU CANDIDATES-
SUBMIT 1 ORIGINAL AND 1 COPY

NEIGHBOR ISLAND CANDIDATES-
SUBMIT 1 ORIGINAL AND 2 COPIES

STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION

COPY

DISCLOSURE REPORT
CANDIDATE COMMITTEE

PLEASE TYPE OR PRINT CLEARLY WITH INK (INSTRUCTIONS FOR COMPLETING THE DISCLOSURE REPORT CAN BE FOUND IN THE "GUIDEBOOK FOR CANDIDATE COMMITTEES.")

SECTION I-CANDIDATE AND CANDIDATE COMMITTEE:

(a) Candidate Name:

Kirk William Caldwell

(b) Committee Name: Friends of Kirk Caldwell

(c) Mailing Address: P. O. Box 61208

Honolulu, HI 96839

(d) Phone (Bus) 539-0478 (Res) 595-8051

Treasurer's

SECTION II-TYPE OF REPORT:

(See the Schedule of Reporting Dates to complete this section)

- ☒ 1st Preliminary Primary ☐ Amended ☐ First ☐ Third
☐ 2nd Preliminary Primary ☐ Short Form¹ ☐ Second ☐ Fourth
☐ Final Primary
☐ Preliminary General
☐ Final Election Period
☐ Supplemental

REPORTING PERIOD

1/1/02 through 6/30/02

SECTION III-SUMMARY OF RECEIPTS AND DISBURSEMENTS
(Complete Section IV on the Back of this Form Before Completing This Section)

	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD ² TOTAL TO DATE
1. Cash on Hand at the Beginning of the Election Period ²		0
2. Cash on Hand at the Beginning of this Reporting Period.....	0	
3. Total Receipts (From Line 15).....	2,308.89	2,308.89
4. Subtotal (Add Lines 2 and 3 for Column A and Lines 1 and 3 for Column B).....	2,308.89	2,308.89
5. Total Disbursements (not including Unpaid Expenditures) (From Line 19).....	1,630.71	1,630.71
6. Cash on Hand at the Closing of this Reporting Period (Subtract Line 5 from Line 4)....	678.18	678.18
7. Total Loans at the Closing of this Reporting Period.....	708.89	
8. Total Unpaid Expenditures at the Closing of this Reporting Period.....	982.80	
9. Debts Owed at the Closing of this Reporting Period (Add Lines 7 and 8).....	1,691.69	
10. Surplus/Deficit (Subtract Line 9 from Line 6).....	(1,013.51)	

I hereby certify that the information on this report and all attached Schedules are true, correct and complete to the best of my knowledge.

Candidate Signature

Date

Treasurer Signature

Date

¹ Short Form is checked if the candidate is filing a Preliminary, Final or Supplemental Report and has aggregate contributions and aggregate expenditures for the reporting period totaling \$2,000 or less. Short form reporting requires completion of only Section I, Section II, and Section III of this Disclosure Report.

² An Election Period is the two-year period between general election days if a candidate is seeking nomination or election to a two-year office and the four-year period between general election days if a candidate is seeking nomination or election to a four-year office.

SECTION IV-DETAILED SUMMARY OF RECEIPTS AND DISBURSEMENTS
(If Necessary, Complete Schedules A through E Before Completing This Section)

RECEIPTS	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE	
11. Contributions From:			11
(a) Individuals/Other Entities/Noncandidate Committees/Political Parties			11(a)
(i) Monetary and Non-Monetary Contributions of \$100 or Less.....	100.00	100.00	11(a)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100.....	1,500.00	1,500.00	11(a)(ii)
(iii) Subtotal (Add Lines 11(a)(i) and 11(a)(ii)).....	1,600.00	1,600.00	11(a)(iii)
(b) Candidate or Candidate's Immediate Family			11(b)
(i) Monetary and Non-Monetary Contributions of \$100 or Less.....	0	0	11(b)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100.....	0	0	11(b)(ii)
(iii) Subtotal (Add Lines 11(b)(i) and 11(b)(ii)).....	0	0	11(b)(iii)
12. Total Contributions (Add Lines 11(a)(iii) and 11(b)(iii)).....	1,600.00	1,600.00	12
13. Public Funds and Other Receipts.....	0	0	13
14. Loans.....	708.89	708.89	14
15. Total Receipts (Add Lines 12 through 14).....	2,308.89	2,308.89	15
DISBURSEMENTS			
16. Expenditures.....	1,630.71	1,630.71	16
17. Loans Repaid or Forgiven.....	0	0	17
18. Unpaid Expenditures Paid or Forgiven.....	0	0	18
19. Subtotal Disbursements (Add Lines 16 through 18).....	1,630.71	1,630.71	19
20. Unpaid Expenditures.....	982.80		20
21. Total Disbursements (Add Lines 19 and 20).....	2,613.51	2,613.51	21

CHECK ONLY ONE BOX
USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW

☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE COMMITTEES/POLITICAL PARTIES

☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION

SCHEDULE A
MONETARY AND NON-MONETARY CONTRIBUTIONS
CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME: Kirk William Caldwell; Friends of Kirk Caldwell PAGE 1 OF 1

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
6/04/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Rosemary Fazio 1099 Alakea Street, # 1400 Honolulu, HI 96813		500.00	500.00
6/04/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Muriel Roselani Giugni 12003 Titian Way Potomac, MD 20854	Homemaker	1,000.00	1,000.00
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page).....			1,500.00	
2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(iii)).....			1,500.00	

Form CC-5(A) (Rev. 5/99)

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on Schedule B.

**STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION**

**SCHEDULE B
EXPENDITURES
CANDIDATE COMMITTEE**

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

PAGE 1 OF 2

Kirk William Caldwell; Friends of Kirk Caldwell

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
4/04/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION The Photoplant Inc. 1221 Kapiolani Blvd., # 506 Honolulu, HI 96814	Campaign literature preparation	72.92
5/30/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION House Without a Key 2199 Kalia Road Honolulu, HI 96815	Campaign meeting	75.62
6/05/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION The Wisteria 1206 S. King Street Honolulu, HI 96814	Campaign meeting	37.66
6/06/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Paradise Cafe Downtown 841 Bishop Street Honolulu, HI 96813	Campaign meeting	21.72
6/06/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Service Printers, Inc. 1829 Dillingham Blvd. Honolulu, HI 96819-4020	Campaign literature preparation	921.82
6/07/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Office Max 770 Ala Moana Blvd. Honolulu, HI 96813	Office supplies	15.78
6/13/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION The Wisteria 1206 S. King Street Honolulu, HI 96814	Campaign meeting	14.74
1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page).....			1,160.26
2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report).....			

**STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION**

**SCHEDULE B
EXPENDITURES
CANDIDATE COMMITTEE**

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

PAGE 2 OF 2

Kirk William Caldwell; Friends of Kirk Caldwell

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
6/13/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Bronson's 1088 Bishop Street Honolulu, HI 96813	Campaign meeting	21.13
6/13/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Linny Morris Cunningham 4151-B Nuuanu Pali Drive Honolulu, HI 96817	Campaign literature preparation	442.71
6/20/02	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Fisher Hawaii 1025 Alakea Street Honolulu, HI 96813	Office supplies	6.61
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION		
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION		
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION		
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION		

1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page).....

470.45

2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report).....

1,630.71

ATTACH A COPY OF THE
EXECUTED LOAN DOCUMENT AT
THE TIME OF INITIAL DISCLOSURE

STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION

SCHEDULE D
LOANS
CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

PAGE 1 OF 1

Kirk William Caldwell; Friends of Kirk Caldwell

LOAN SOURCE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF LENDER NAME OF EMPLOYER AND OCCUPATION	AMOUNT OF LOAN AT BEGINNING OF THIS PERIOD	NEW LOAN AMOUNT THIS PERIOD	AMOUNT REPAID OR FORGIVEN THIS PERIOD	AMOUNT OF LOAN AT CLOSING OF THIS PERIOD
DATE OF LOAN	PURPOSE OF LOAN				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Kirk William Caldwell (cash) 1099 Alakea St., # 1400 Honolulu, HI 96813 (Ashford & Wriston A Limited Liability Law Partnership LLP - attorney)	0	21.72	<input type="checkbox"/> FORGIVEN 0	21.72
6/06/02	Campaign Loan				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Kirk William Caldwell (check) 1099 Alakea St., # 1400 Honolulu, HI 96813 (Ashford & Wriston A Limited Liability Law Partnership LLP - attorney)	0	442.71	<input type="checkbox"/> FORGIVEN 0	442.71
6/22/02	Campaign Loan				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Kirk William Caldwell (credit card) 1099 Alakea St., # 1400 Honolulu, HI 96813 (Ashford & Wriston A Limited Liability Law Partnership LLP - attorney)	0	244.46	<input type="checkbox"/> FORGIVEN 0	244.46
4/04/02 - 6/20/02	Campaign Loan				
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
1. SUBTOTAL (This Page).....			708.89	0	708.89
2. TOTAL NEW LOANS THIS PERIOD (Last Page Only) (Transfer total to Line Number 14 of the Disclosure Report).....			708.89		
3. TOTAL LOANS REPAID OR FORGIVEN THIS PERIOD (Last Page Only) (Transfer total to Line Number 17 of the Disclosure Report).....				0	
4. TOTAL LOANS AT THE CLOSING OF THIS PERIOD (Last Page Only) (Transfer total to Line Number 7 of the Disclosure Report)....					708.89

Form CC-5(D) (Rev. 5/99)

If a loan is forgiven, the loan must also be reported as a "Non-Monetary Contribution" on Schedule A. The forgiven loan does not have to be reported as an "Expenditure" on Schedule B.

**STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION**

**SCHEDULE E
UNPAID EXPENDITURES
CANDIDATE COMMITTEE**

NOTE: EXPENDITURES ARE CONSIDERED MADE WHEN THE PRODUCT IS DELIVERED OR THE SERVICE IS RENDERED (ACCRUAL METHOD OF ACCOUNTING).

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Kirk William Caldwell; Friends of Kirk Caldwell

PAGE 1

OF 1

DATE OF UNPAID EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF VENDOR PURPOSE OF UNPAID EXPENDITURE	AMOUNT OF UNPAID EXPENDITURE AT BEGINNING OF THIS PERIOD	NEW UNPAID EXPENDITURE AMOUNT THIS PERIOD	AMOUNT PAID OR FORGIVEN THIS PERIOD <input type="checkbox"/> FORGIVEN	AMOUNT OF UNPAID EXPENDITURE AT CLOSING OF THIS PERIOD
6/14/02, 6/24/02	Doug Behrens Design 2424 S. Beretania St., # 102 P. O. Box 1674 Honolulu, HI 96806 Campaign literature preparation	0	600.60 + 382.20 <u>982.80</u>	<input type="checkbox"/> FORGIVEN 0	982.80
				<input type="checkbox"/> FORGIVEN	
				<input type="checkbox"/> FORGIVEN	
				<input type="checkbox"/> FORGIVEN	
				<input type="checkbox"/> FORGIVEN	
				<input type="checkbox"/> FORGIVEN	
1. SUBTOTAL (This Page).....			982.80	0	982.80
2. TOTAL NEW UNPAID EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 20 of the Disclosure Report).....			982.80		
3. TOTAL UNPAID EXPENDITURES PAID OR FORGIVEN THIS PERIOD (Last Page Only) (Transfer total to Line Number 18 of the Disclosure Report).....				0	
4. TOTAL UNPAID EXPENDITURES AT THE CLOSING OF THIS PERIOD (Last Page Only) (Transfer total to Line Number 8 of the Disclosure Report).....					982.80

Form CC-5(E) (Rev. 5/99)

If an unpaid expenditure is forgiven, the unpaid expenditure must also be reported as a "Non-Monetary Contribution" on Schedule A. The forgiven unpaid expenditure does not have to be reported as an "Expenditure" on Schedule B.